



PTO/SB/21 (08-03)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/718,390
	Filing Date	November 20, 2003
	First Named Inventor	Ronald F. Palermo
	Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	1067 001 301 0202

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): Certificate of Mailing; Transmittal of IDS; Non-Patent references; Return Postcard
Remarks Customer No: 37211. For fee deficiency resulting from the filing of the papers submitted herein, charge Deposit Account 50-2737.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Duane C. Basch, Reg. No. 34,545 Basch & Nickerson LLP
Signature	
Date	1/22/04

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Typed or printed name	Duane C. Basch		
Signature		Date	1/23/2004

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Practitioner's Docket No.: 1067 001 301 02 02

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Ronald F. Palermo

Application No.: 10/718,390

Group No.:

Confirmation No:

Filed: 11/20/2003

Examiner:

For:

Method for the Aesthetic Surface Treatment of a Monolithic Concrete Floor and Product of the Method

Commissioner for Patents
P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

WITHIN THREE MONTHS OF FILING OR

BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. section 1.97(b))

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

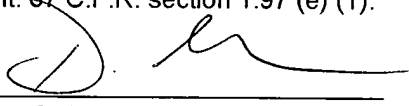
☒ 1. The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. section 1.97(b).

or

☐ 2. The Information Disclosure Statement submitted herewith contains information that was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. 37 C.F.R. section 1.97 (e) (1).

Date:

1/22/2004


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1777 Penfield Road
Penfield, NY 14526
US
585-899-3970
Customer No. 37211

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/718390
Filing Date	11-20-2003
First Named Inventor	Ronald F. Palermo
Art Unit	
Examiner Name	
Attorney Docket Number	1067 001 301 0202

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

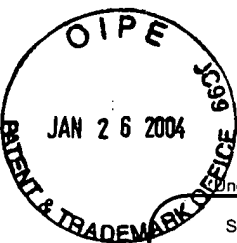
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	10	Advance Floor Products, www.retroplatesystem.com; The Retro Plate System; c. 2001 Advance Floor Products; Tel. 801-812-3420	
	11	Spec-Data; Retro-Plate 99; Advanced Floor Products, PO BOX 50533, Provo Utah; Multit-paged Datasheet	
	12	Surface Preparation; High Production Diamond Grinding, Polishing and Dust Extraciton Systems; www.concretemedic.com; www.vicintl.com; VIC International Corporation, Powell, TN 37849; c. 2001	

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SignatureDate
Considered

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	10/718390
		Filing Date	11-20-2003
		First Named Inventor	Ronald F. Palermo
		Art Unit	
		Examiner Name	
Sheet 1 of 2	Attorney Docket Number	1067 001 301 0202	

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US- 1629185	05-17-1027	Tone	
	2	US- 2296453	09-22-1942	Saffert	
	3	US- 2835996	05-27-1958	DePaoli, Sr.	
	4	US- 4281496	08-04-1981	Danielsson	
	5	US- 4496504	01-29-1985	Steenenson et al.	
	6	US- 4748788	06-07-1988	Shaw et al.	
	7	US- 5339589	08-23-1994	Thrower	
	8	US- 6155907	12-05-2000	Jones	
	9	US- 6454632	09-24-2002	Jones et al.	
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FOREIGN PATENT DOCUMENTS						
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Serial No. 10/718,390 Date Filed: 11/20/2003

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